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## Expertise and the evolution of consciousness

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### Abstract

This paper argues that expertise can be used as an indicator of consciousness in humans and other animals. The argument is based on the following observations: (1) expertise and skill acquisition require deliberate practice; and (2) the characteristics of deliberate practice such as performance evaluation against a more proficient model, retention of voluntary control over actions, self-monitoring, goal-setting, error-detection and correction, and the construction of hierarchically organized retrieval structures are outside of the currently understood bounds of unconscious processing. Thus, to the extent that evidence of expertise exists in an organism, evidence of conscious experience is also present. Two important implications arise from this conclusion: (1) evidence of expertise can be used as the basis for cross-species comparisons of consciousness; and (2) the evolution of human consciousness can be assessed using fossil evidence of skilled behavior as a measure of consciousness.

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### 1. Introduction

Studying the evolution of consciousness necessarily involves conjectures about the conscious experience of other species. Since direct assessments of another's conscious experience is impossible, these conjectures must rely on indirect evidence which has typically taken two forms: (1) identifying the presence of a cognitive process in another species (generally inferred from behavior) that when present in humans requires consciousness; or (2) identifying specific brain activity in another species as it engages in a certain behavioral act that corresponds to the brain activity found in humans when they

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engage in that act, and that necessarily entails conscious experience in humans (Roth, 2001). These indirect approaches allow for cross-species comparisons that can begin to shed light on the evolutionary history of consciousness.

Unfortunately, these approaches are inadequate for studying the evolution of consciousness specifically along the hominin branch. With only one remaining hominin species, direct behavioral comparisons among hominin species are impossible. Furthermore, neither the cognitive processes nor the brain activity of our extinct ancestors are fossilized with their bones. This seems to render the evolution of human consciousness a scientifically intractable problem. In what follows I will argue that this conclusion is overly pessimistic. A way of assessing the consciousness of our hominin ancestors is available if we focus on the acquisition of expertise as an indicator of consciousness. However, before proceeding too deeply into the argument it is necessary to lay some groundwork concerning expertise and consciousness.

## 2. Background

### 2.1. Defining consciousness

Block (1995, 2001) has drawn an important distinction between what he calls phenomenal consciousness and access consciousness. Phenomenal consciousness refers to the subjective “feeling” of a mental state (e.g. what it is like to experience blue or pain) while access consciousness refers to the fact that a mental representation is available for use in reasoning or (more importantly for current purposes) the rational control of action. A number of researchers have argued that when focused attention is brought to bear on a stimulus, the representation of that stimulus is amplified and made widely available to the cognitive system for further processing (Dehaene, Kerszberg, & Changeux, 1998; Kahneman & Treisman, 1984; Posner, 1994). In this paper the term consciousness will primarily refer to the access nature of consciousness which results when focused attention is brought to bear on a stimulus or event.

While phenomenal consciousness and access consciousness are separable concepts, they are closely connected and typically occur together. Normally, when one focuses attention on a stimulus one is both subjectively aware of the stimulus and the internal representation of the stimulus is accessible for a variety of mental processes. Phenomenal consciousness may occur in the absence of access consciousness, such as when we are aware of background noise or peripheral images, but respond to them (if at all) in only limited, reflexive ways (peripheral awareness or fringe consciousness, see Farthing, 1992; James, 1890/1981). The occurrence of access consciousness without phenomenal consciousness appears to be rare and possibly non-existent in the natural world. While Block (1995) claims that access consciousness without phenomenal consciousness is conceptually possible (e.g. zombies, robots, and hypothetical forms of blindsight) he concedes to knowing of no actual examples (p. 233). Thus, it seems reasonable that the “consciousness” involved in skill acquisition will include phenomenal consciousness, but it is the access character of that consciousness that is the necessary prerequisite to the acquisition of expertise.

## 2.2. *Why focus on skilled behavior?*

There are at least three compelling reasons to focus on skill acquisition as a means of studying the evolution of consciousness. The first is that evidence of skilled behavior can be found in non-human animals (to be reviewed later). Thus, cross-species comparisons can be made concerning not only relative skill levels but also regarding the processes of skill acquisition. The potential implications for consciousness can be drawn out from these investigations.

Second, a record of hominin skill is preserved in the form of tools and other artifacts. From these remains reasonable conclusions can be drawn concerning the mental capacities needed for their creation. Furthermore, these conclusions can be well-grounded in great ape and human models that represent highly similar species both structurally and neurophysiologically. Thus, the evolution of human consciousness can be studied using a skill acquisition framework.

Lastly, recent developments in neuroscience point to a close connection between consciousness and motor control in primates and humans. Neuropsychological studies indicate the existence of two independent systems for the visual/perceptual control of movement. One system, utilizing the dorsal occipital-parietal pathway, operates unconsciously and mediates immediate responses to visual stimuli. The other, utilizing the ventral occipital-temporal pathway, operates consciously and directs more considered responses based on perceptual representations (Milner & Dijkerman, 2001). The temporal lobe associative cortex has been subject to dramatic expansion over the course of primate evolution. Furthermore, the prefrontal cortex (which is important for conscious awareness) and the cerebellum (which is important for coordinating motor behaviors) have enlarged substantially over the course of primate evolution, reaching their peak size in humans (Deacon, 1997; Dow, 1942, 1988; Passingham, 1982). More importantly, the pathways connecting these structures have also enlarged dramatically, expanding by a factor of five over the course of hominin evolution (Donald, 2001, p. 196). It now seems clear that the cerebellum plays a crucial role in the conscious control of motor behavior as well as other “higher” cognitive functions. Recent neuroimaging studies have indicated that the cerebellar-prefrontal associative system is involved in language, working memory, implicit and explicit learning, the acquisition of new motor skills, and mental imagery (Desmond & Fiez, 1998; Imamizu et al., 2000; Leiner, Leiner, & Dow, 1995; Schmahmann, 1996; Thatch, 1998). Thus, evolution has fashioned the human brain with specific systems that bring consciousness and motor control into a close relationship.

If a strong case can be made that expertise requires a certain level of consciousness, then a potentially productive avenue for studying the evolution of consciousness is opened. Both cross-species comparisons of skilled behavior as well as assessments of hominin skill based on the fossil record can be exploited to answer questions concerning the evolutionary history of consciousness.

## 2.3. *Previewing the argument*

The argument to be presented can be summarized as follows: to acquire expertise one must be able to engage in deliberate practice. Deliberate practice requires consciousness,

136 or put more precisely, it requires the accessible mental representations that arise when  
137 focused attention is concentrated on a stimulus or event. This type of consciousness is  
138 necessary because it exclusively affords the enhanced discriminative powers and response  
139 flexibility necessary in order to voluntarily hone behavioral responses. Furthermore, cross-  
140 species observations suggest that the ability to develop expertise using deliberate practice  
141 may be a uniquely human trait. While animals acquire skills, they appear to do so using  
142 play rather than deliberate practice. This difference may be based in the inability of non-  
143 human animals to direct attention inwards and willfully retrieve mental representations  
144 from memory (autocue). It may be that the conscious capacity necessary to support skill  
145 acquisition through deliberate practice is something that evolved solely along the hominid  
146 branch, and evidence of this evolution may be discernable in the fossil record.

147 The case for using expertise as a basis for assessing consciousness reduces to a rather  
148 simple set of propositions: (1) expertise requires deliberate practice; (2) deliberate practice  
149 requires consciousness; and (3) evidence of expertise exists in both non-human animals  
150 and in the fossil record of hominin evolution, and these sources can be used to study the  
151 evolution of consciousness. This paper amounts to a defense of each proposition.

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153

### 154 **3. Proposition 1: expertise requires deliberate practice**

155

#### 156 *3.1. The acquisition of skilled performance and expertise*

157

158 Modern empirical work on the development of expertise can be traced back to the  
159 pioneering studies of [de Groot \(1946\)](#) who found that chess experts were far superior to  
160 less accomplished players in their ability to select the best moves after a brief examination  
161 of the chessboard. In succeeding decades, a host of studies have examined expertise in a  
162 wide range of domains such as: chess ([Charness, 1989](#); [Chase & Simon, 1973](#)), medical  
163 diagnosis ([Elstein, Shulman, & Sprafka, 1990](#)), computer programming ([Adelson &](#)  
164 [Soloway, 1985](#); [Jeffries et al., 1981](#)), music ([Ericsson, Krampe, & Tesch-Romer, 1993](#);  
165 [Sloboda, 1991](#)), cricket ([Lamb & Burwitz, 1988](#); [McLeod & Jenkins, 1991](#)), table tennis  
166 ([Bootsma & Van Wieringen, 1990](#)), snooker ([Abernethy, Neal, & Koning, 1994](#)),  
167 volleyball ([Allard & Starkes, 1980](#)), and an array of other sports, professions, and  
168 activities (see reviews in [Abernethy, 1987](#); [Ericsson, 2002](#); [Ericsson & Lehmann, 1996](#)).  
169 From this body of data a number of general principles concerning the acquisition of skilled  
170 performance have been gleaned.

171

172 (1) Expertise generally requires about 10 years of dedicated preparation within a  
173 specific domain (the 10 year rule: [Chase & Simon, 1973](#)). During this 10 year  
174 preparatory period, mere exposure or even active participation in an activity is not  
175 enough for the development of elite skill. Instead, a specific kind of preparatory activity  
176 called *deliberate practice* is required if the highest levels of performance are to be  
177 attained. This leads to the second important principle concerning expertise.

178 (2) The level of skill one attains in a domain has been shown to be directly related to the  
179 amount of deliberate practice one engages in (see [Ericsson, 2002](#) for review and  
180 discussion). For example, [Krampe and Ericsson \(1996\)](#) found that the very best

181 musicians had, by age 20, logged more than 10,000 hours of deliberate practice.

182 (3) Most elite performers are introduced to their future field of expertise as children in  
183 the form of play. While still in this stage, a teacher or coach is typically assigned to  
184 harness some of the child's playfulness by setting goals and providing a more structured  
185 interaction with the activity aimed at enhancing skill development. Eventually as the  
186 child matures and shows greater promise, a full-time commitment to the activity on the  
187 part of parents and the child takes place and the highest levels of performance are  
188 pursued in earnest (Bloom, 1985). It is during the phase of a full-time commitment that  
189 the quality and quantity of deliberate practice become critical in determining how far an  
190 individual will take his or her skill. Given the central role of deliberate practice in skill  
191 acquisition a closer look at its essentials is necessary.

### 192 3.2. Deliberate practice

193  
194 Deliberate practice is a unique form of activity, distinguishable from both work and  
195 play, where goal-directed, concentrated effort is expended in order to hone and improve  
196 specific mental and physical skills. An example will help to elucidate its important  
197 characteristics: in their analysis of chess expertise, Charness, Krampe, and Mayr (1996)  
198 found that becoming a chess grand master involved more than just frequent chess-playing.  
199 In their formative years, future chess grand masters improved their skills by spending  
200 countless hours studying the games of past grand masters. While studying a game, they  
201 would predict the grand master's moves in various situations. When their predictions  
202 differed from that of the master, they would go back and re-analyze the chessboard in order  
203 to uncover what the master had seen that had eluded them. In this way, they trained  
204 themselves to "see" and "think" as a grand master player.

205  
206 This example highlights one of the central features of deliberate practice, which is the  
207 *constant evaluation of one's current skill state against that of a more skilled model*.  
208 Specific discrepancies between the model and one's current state are often identified and  
209 used as goal conditions for assessing progress. Constant self-monitoring and self-  
210 evaluation are necessary (often with the aid of a teacher or coach) in order to assess  
211 progress toward achieving goals. In the early stages of skill acquisition one typically  
212 focuses on emulating the model and on the process of properly executing the mental and  
213 physical strategies necessary for competent performance. In later stages, focus shifts from  
214 process monitoring to outcome monitoring, in other words, as mental and physical skills  
215 become more efficient and automatic, one concentrates on producing desired results  
216 (Schunk & Zimmerman, 1997; Zimmerman, 2002). Thus, a tennis novice may closely  
217 watch a model's backhand movements, then concentrate on executing the movement. In  
218 time as the movement becomes more natural, the novice's concentration shifts to placing  
219 the ball in desired locations.

220 A second important characteristic of deliberate practice is the *constant focus on the*  
221 *elevation, not maintenance, of skill*. Elevating skill often involves repetitious exercises,  
222 however, as Ericsson (2002, p. 29) stresses, deliberate practice is the very opposite of  
223 mindless repetition. Once a skill has been acquired and an adequate level of competence  
224 achieved, there is a natural tendency for it to become automated (Anderson, 1982, 1987;  
225 Fitts & Posner, 1967). At this point, repetitious, less rigorous practice is usually enough to

226 maintain one's skill. This is why simply engaging in an activity, even regularly and  
227 vigorously, will not necessarily lead to an individual becoming an elite performer. There  
228 are many, many people who play basketball, golf, chess, or their favorite musical  
229 instrument on a regular basis, but only a very few elite performers. When engaging in a  
230 desired activity, the average person is usually just running off already established, highly  
231 automated responses. Deliberate practice, however, requires that the individual resists  
232 total skill automation, and constantly challenges himself or herself with new goals and  
233 more effective behaviors. Expert pianists, for example, will often purposely rehearse an  
234 already learned piece at an excruciatingly slow tempo in order to force themselves to  
235 concentrate on the individual notes and the relationships among the notes.

236 This leads to a third, related feature of deliberate practice: *it requires that a certain level*  
237 *of conscious, voluntary control must be maintained* in order to move beyond one's current  
238 ability level to a higher one. Experts need to retain some degree of conscious control over  
239 processes in their domain in order to deal with unexpected circumstances or (in the case of  
240 sports) the responses of competitors. This "retention of control" has been experimentally  
241 demonstrated by [Lehmann and Ericsson \(1997\)](#) who had expert pianists memorize a short  
242 musical piece. Afterward, they were unexpectedly required to play the piece again at the  
243 same tempo; however, they were required to skip every other measure, or to play with only  
244 one hand, or even to transpose the piece into another key. Despite these unexpected  
245 changes the accuracy of their performance remained uniformly high. Since the changes  
246 required subjects to engage in novel motor movements, Lehmann and Ericsson argued that  
247 their pianists were not simply running off an automated motor routine but were using  
248 flexibly stored knowledge in an innovative way to meet task demands.

249 Along with the characteristics just discussed (performance evaluation against a more  
250 proficient model, constant elevation of skill, and retention of voluntary control), [Ericsson](#)  
251 [\(1996\)](#) has identified some other important characteristics of deliberate practice: (a) it  
252 involves activity which is at a difficulty level appropriate to but challenging of the  
253 individual's current skills; (b) it provides informative feedback concerning the  
254 individual's success in attaining new skill levels; (c) it provides opportunities for  
255 repetition of new skills; and (d) for the correction of errors as skills are being learned. On  
256 an intuitive level it would seem that these features necessarily entail conscious processing.  
257 As will be seen shortly there is a strong empirical basis bolstering this intuition.

258 Two important summary points emerge concerning deliberate practice and expertise.  
259 First, deliberate practice in some form is necessary if skill is to be acquired. What  
260 separates elite performers or true experts from average performers or novices is the effort  
261 and duration of deliberate practice. The average person usually drops deliberate practice  
262 for a less rigorous, more repetitious form of practice once an acceptable level of  
263 competence is achieved. Experts continue deliberate practice for a much longer time,  
264 possibly indefinitely, in order to advance skill to a superlative level ([Ericsson, 2002](#)).  
265 While there is currently disagreement over whether deliberate practice is *sufficient* for the  
266 development of expertise, its *necessity* is unquestioned ([Ericsson & Charness, 1994](#);  
267 [Sternberg, Grigorenko, & Ferrari, 2002](#)).

268 Second, the general outline of what constitutes deliberate practice remains the same  
269 regardless of the skill to be mastered; and two features inherent to deliberate practice  
270 are focused attention and conscious control. Deliberate practice is by its very nature



271 a controlled process that involves highly focussed, concentrated attention on inputs and  
272 behavioral flexibility of outputs. So demanding is this activity that only a few hours of it  
273 can be sustained in a day's time before rest is required (Ericsson et al., 1993).

274

### 275 3.3. Deliberate practice and the formation of retrieval structures

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277 It may seem paradoxical that the same process, deliberate practice, can be used to  
278 acquire expertise across vastly differing domains from chess to snooker to medical  
279 diagnosis. And, in terms of the specific knowledge acquired, experts in different fields  
280 certainly vary from one another. However, it appears that the generality of deliberate  
281 practice lies in the fact that expert performance, regardless of its specific details, involves a  
282 common perceptual/motor process – that is, responding effectively to identified  
283 meaningful patterns. Deliberate practice trains an individual how to identify and  
284 effectively respond to meaningful patterns.

285 The chess expert, for example, “sees” the chessboard differently, more meaningfully,  
286 than does the novice, and is therefore more proficient at selecting the right moves. Chase  
287 and Simon (1973) found that chess experts were far more capable at recalling briefly seen  
288 chessboards compared to novices. However, the differences were all but eliminated when  
289 chess pieces were randomly arranged as opposed to reflecting arrangements from actual  
290 games. This shows that the chess experts did not have better memories per se, but instead  
291 were able to recognize larger, more meaningful patterns in the game-arranged boards,  
292 while the novices were often reduced to trying to remember positions on a piece by piece  
293 basis. Similar effects have been found across a wide array of other domains (see Ericsson  
294 et al., 1993 for a review).

295 In the course of developing expertise, one's domain-relevant perceptual experience is  
296 altered and enriched. Sensory inputs, which before were chaotic, irrelevant, and unusable,  
297 over time emerge as organized, meaningful patterns (Hirst, 1995). This emergent  
298 organizing of incoming sensory data is typically accomplished through the construction of  
299 *retrieval structures*. Retrieval structures are hierarchically clustered sets of cues that  
300 organize incoming data and provide access to domain-relevant information in memory  
301 (Ericsson & Kintsch, 1995). An experiment by Chase and Ericsson (Chase & Ericsson,  
302 1981; Ericsson, Chase, & Fallon, 1980) provides a good example of the construction and  
303 use of a retrieval structure. These experimenters studied the development of expertise in  
304 the digit span memory task by giving a subject, SF, over 200 hours of practice on the task.  
305 In the end, SF was able to recall digit strings of up to 80 items. SF did this by organizing  
306 the digits into meaningful clusters of groups and supergroups based on mnemonics often  
307 involving running times. For example, the four digits 4023 might be organized into a  
308 group based on the idea that 4 minutes and 23 seconds is good mile time. This group might  
309 then be organized into a larger group based on descending distances (mile, 440, 100  
310 meters). SF would then only have to hold these “larger” structures in working memory and  
311 use them as cues to accessing the actual digits. Chess experts have been found to use  
312 similar hierarchically organized structures as they assess move sequences while evaluating  
313 chess positions and planning moves (Charness, 1981).

314 A critical expert/novice difference lies in the fact that the expert, as a result of using  
315 retrieval structures in working memory, has an organized set of cues that provides access

316 to a vast wealth of domain-specific information stored in his/her long-term memory  
317 (Ericsson & Kintsch, 1995). The novice, lacking the retrieval structure, is restricted to that  
318 information which can be called into and held in a very limited working memory space at  
319 any particular moment in time. Thus, through deliberate practice the expert assembles  
320 retrieval structures which organize sensory inputs into meaningful patterns, which in turn  
321 provide access to the vast knowledge stored in the expert's long-term memory, which is  
322 then used to direct an effective behavioral response.

323

#### 324 3.4. *Deliberate practice and consciousness*

325

326 To this point I have argued that deliberate practice produces expertise by training an  
327 individual to extract meaningful patterns, which can in turn be used to guide and control  
328 efficacious responses. Identifying meaningful patterns entails perceptual discrimination  
329 while effectively controlling behavior entails response flexibility, both essential elements  
330 of expertise and (as shall be seen) aspects inherent to consciousness as well. This would  
331 appear to indicate a close connection between consciousness and the development of  
332 expertise using deliberate practice. However, extracting meaningful perceptual patterns  
333 and executing adaptive responses may very well be within the capabilities of the  
334 unconscious mind. Recent experimental findings show that such processes as visual  
335 pattern recognition, rule abstraction, scene categorization, covariance identification,  
336 motion detection, fear conditioning, and extracting word meanings can all (apparently) be  
337 executed without conscious awareness (Altmann, Dienes, & Goode, 1995; Dehaene,  
338 Naccache et al., 1998; Frensch, 1998; Julesz, 1995; Li, VanRullen, Koch, & Perona, 2002;  
339 Morris & Dolan, 2001; Ohman & Soares, 1998; Reber, 1993; Watanabe, Nanez, & Sasaki,  
340 2001).

341 With the unconscious mind seemingly capable of such a potent array of processes,  
342 consciousness may be contributing very little in the development of expertise. However,  
343 despite the apparent vast powers of the unconscious mind, it is doubtful that one can  
344 become an expert unconsciously. The emergent, meaningful patterns used by experts are  
345 often *temporally and spatially extended* and therefore beyond the discriminative powers of  
346 unconscious processing.

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### 349 **4. Proposition 2: deliberate practice requires consciousness**

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#### 351 4.1. *Limits of the unconscious mind*

352

353 Some of the first studies to elucidate the capacity of unconscious processing were those  
354 showing residual visual function in patients with damage to the primary visual cortex.  
355 These “blindsight” patients had no conscious visual experience, yet they could identify  
356 and locate visual stimuli within their phenomenally “blind” fields (Poppel, Held, & Frost,  
357 1973; Weiskrantz, 1986). However, the unconscious processing of blindsight patients can  
358 only go so far. While patients can often identify the presence of movement within their  
359 blind field they have considerable difficulty identifying the direction of movement (Cowey  
360 & Azzopardi, 2001). Similarly, patients with cortical achromatopsia have no conscious



361 experience of color resulting from damage to the visual cortex and possibly the ventral  
362 visual pathway (Heywood, Kentridge, & Cowey, 2001). These patients can often succeed  
363 on tests of color constancy when only local patches must be compared, but falter when  
364 more global judgements across the entire visual scene must be made (D’Zmura,  
365 Knoblauch, Henaff, & Michel, 1998; Hurlbert, Bramwell, Heywood, & Cowey, 1998).  
366 Note how these “failures” of unconscious processing involve more demanding pattern  
367 discriminations across time and space. These findings support the contention made by  
368 some (e.g. Searle, 1992) that consciousness provides increased discriminative and  
369 computational power compared to unconscious processing.

370 In addition to neuropsychological studies, the past few decades have seen an impressive  
371 accumulation of cognitive research addressing unconscious processing. In reviewing this  
372 literature, Dehaene and Naccache (2001) identify three cognitive processes that fall  
373 outside the capabilities of unconscious processing and therefore require conscious  
374 awareness: (1) durable and explicit information maintenance; (2) novel combinations of  
375 operations; and (3) intentional behaviors. As shall be seen shortly, each of these processes  
376 involves complex, spatially and temporally extended perceptual discriminations on the  
377 input end, as well as response flexibility on the output end.

378

#### 379 *4.2. Durable and explicit information maintenance*

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381 Durable and explicit information maintenance actually refers to two different but  
382 related qualities. First, durability (of lack thereof) refers to the fact that implicit and  
383 unconscious effects are typically short-lived. Studies of unconscious priming offer a good  
384 example of the short-lived nature of unconscious effects. Numerous experiments have  
385 demonstrated that the reaction time for determining if a visual stimulus is a word or a non-  
386 word letter combination (nurse vs. ydxug, for example) can be affected by a previously  
387 presented stimulus. For instance, a subject will render the response “word” to the stimulus  
388 “nurse” significantly faster if the stimulus presented just before nurse was semantically  
389 related, such as “doctor”, as opposed to being semantically unrelated such as “lumber”  
390 (Meyer & Schvaneveldt, 1976). Importantly, these same priming effects can be found even  
391 when the first word of the pair (doctor in this instance) is masked such that the subject is  
392 not consciously aware of its presence (Marcel, 1983). Studies of this type, though, have  
393 generally used very brief delays between word pairs, generally ranging from 50 to 150 ms.  
394 Unconscious priming effects dissipate very quickly when delays longer than 150 ms are  
395 employed, in other words, consciousness is required for more temporally extended effects  
396 (Greenwald, 1996).

397 Explicitness (or lack thereof) refers to the fact that unconscious events or percepts are  
398 spatially and temporally unbounded. Treisman and Gelade (1980) demonstrated how  
399 certain features of visual scenes such as color, orientation, or shape could exist in a free-  
400 floating state in the initial, pre-attentive stages of processing. It is not until attention is  
401 focused on aspects of the visual scene that features become unified and “bound” to specific  
402 locations in space, leading to the episodic experience of a particular object with certain  
403 qualities in a certain location. Prior to this, sensory experience is illusory and its source, as  
404 being either externally or internally generated, easily confusable (Kanwisher, 1987;  
405 Treisman & Schmidt, 1982).

406 An experiment by [Jacoby, Woloshyn, and Kelly \(1989\)](#) nicely demonstrates the source  
407 confusion produced by the non-explicit nature of unconscious sensory inputs. Two groups  
408 of subjects read a list of names of non-famous people. One group gave the list their full  
409 attention. The second group, however, read the list in conjunction with a secondary task  
410 that prevented them from focusing full attention on the list. In the test phase, both groups  
411 were presented with a list that contained: (a) non-famous names from the first list (old non-  
412 famous names); (b) non-famous names not from the first list (new non-famous names); and  
413 (c) names of famous people. The task for the subjects was to decide if each name was of a  
414 famous or non-famous person. The results showed that subjects in the divided attention  
415 condition were significantly more likely to call an old non-famous name “famous” than  
416 subjects in the full attention condition. This is exactly what would be expected if subjects  
417 in the divided attention condition suffered from a source confusion regarding the names on  
418 the first list. Why so? Both old non-famous names and famous names should produce a  
419 sense of familiarity in subjects. However, if an old non-famous name can be “placed” in its  
420 proper episodic context (i.e. as having been seen on the previous list) then even though it is  
421 familiar it can be rejected as famous (since all the names on the previous list were non-  
422 famous). Subjects who were prevented from giving the first list their full attention had a  
423 much more difficult time firmly placing old non-famous names in their proper context and  
424 thus made more errors.

425 The durability and explicitness lacking in unconscious experience indicates that if a  
426 representation is to be held in memory for any extended period of time such that its featural  
427 properties can be processed and its experience can be contextually bound, then  
428 consciousness is typically required.

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#### 4.3. *Novel combinations of operations*

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Unconscious processes are generally rigid in their operational constraints and cannot accommodate novel or innovative combinations of operations. A number of studies, for example, have shown the necessity of consciousness in situations where an automated response must be inhibited so that a novel one can be executed. [Debner and Jacoby \(1994\)](#) used a stem completion task where subjects were presented with either heavily (50 ms delay) or lightly (150 ms delay) masked priming words. Immediately after the prime word, the first three letters of the word were presented and subjects were instructed to complete the word with the first word that came to mind *other than* the prime word (called an exclusion task). Thus, suppose the word “button” was the prime word which was shown with a mask occurring either 50 or 150 ms immediately after its onset. Next the subject would be shown the letters “but...” and asked to complete the word. Given typical priming effects one would expect subjects to respond with “button” at levels well above chance. However, to follow the instructions the subject should complete the word as “butler” or “butter” or even “butane”, but not “button”. The results showed that the two masking conditions had opposite effects on the subject’s ability to follow the instructions. When words were lightly masked (150 ms delay) compliance was significantly better relative to a baseline comparison. When words were heavily masked (50 ms delay) compliance was significantly worse relative to a baseline comparison. Thus, some degree of conscious

451 awareness of the word was necessary in order to inhibit or “override” the priming effect of  
452 the word and execute a novel response.

453 In a similar vein, the classic Stroop effect can be reversed but only under conditions  
454 where a prime is presented consciously. Merikle, Joordens, and Stoltz (1995) had subjects  
455 classify red and green colored patches. Prior to the presentation of the patch, the word red  
456 or green was presented either consciously or unconsciously. In either case, when the prime  
457 matched the subsequent color (e.g. word “red” followed by a red patch) the classic Stroop  
458 effect was obtained – subjects’ ability to name the color was enhanced. However, at one  
459 point the experimenters dropped the probability of a match between the prime word and  
460 the subsequent color to 25%. This meant that most of the time the prime word “red”  
461 actually predicted the occurrence of a green patch and vice-versa. When primes were  
462 presented consciously subjects adjusted their behavior and actually became faster when  
463 primes did not match colors (effectively reversing the Stroop effect). This reversal,  
464 however, did not occur when primes were presented unconsciously. Studies by Cheesman  
465 and Merikle (1986) confirmed that only when primes were presented consciously could  
466 subjects deploy various response strategies based on the probability of a prime matching or  
467 not matching a subsequent stimulus. Strategic behaviors that override automatic ones were  
468 not possible when primes were present unconsciously (see also Smith & Merikle, 1999  
469 discussed in Merikle, Smilek, & Eastwood, 2001, p. 127–128).

470 The ability to inhibit an automatic response and substitute it with a strategically more  
471 adaptive one has two important implications. First, it demonstrates a degree of behavioral  
472 flexibility that may represent the first step toward autocuing (the capacity to willfully  
473 retrieve information from memory rather than having information automatically cued by  
474 the environment). Recent studies have shown how difficult it is for apes and monkeys to  
475 inhibit automatic responses and substitute them with novel ones (even in situations where  
476 information is presented consciously). Boysen and Berntson (1995) used a reverse-  
477 contingency paradigm where chimpanzees were allowed to choose between two food  
478 rewards of different quantities. Picking the smaller quantity meant that the ape received the  
479 larger and vice-versa. Even symbol and language trained apes failed to pick the smaller  
480 quantity. Under some circumstances apes and some monkeys can pass the reverse-  
481 contingency test and children over 4 years also reliably succeed (Boysen, Mukobi, &  
482 Berntson, 1999; Kralik, Hauser, & Zimlicki, 2002; Silberberg & Fujita, 1996). Other  
483 studies involving reaching behavior, however, continue to show how difficult it is for non-  
484 human primates to inhibit automatic responses (Diamond, 1988; Santos, Ericson, &  
485 Hauser, 1999). Paradigms that measure response inhibition abilities across species may  
486 provide a window into the evolutionary basis of autocuing.

487  
488 The second important implication involves the formation of retrieval structures. We  
489 saw earlier that with practice, experts organize their domain-specific experience using  
490 hierarchically structured long-term memory cues (retrieval structures). In doing so they  
491 typically make novel associations among stimuli (such as grouping digits into running  
492 times by distances) and strategically organize these associated elements so that they  
493 provide reliable access to long-term memory. Given the studies just reviewed, it seems that  
494 the formation of retrieval structures necessitates at least some degree of conscious  
495 processing.

496 4.4. *Intentional behaviors*

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4.5. *Deliberate practice and the limitations of unconscious processing*

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The argument made thus far has two parts: (1) expertise requires deliberate practice; and (2) deliberate practice requires consciousness. Part 1 is non-controversial. As pointed out earlier there is no disagreement in the literature about the relevance or necessity of deliberate practice in the acquisition of expertise. Part 2 is more controversial. However, the discussion just completed on the limitations of unconscious processing should begin to build the case for the necessity of consciousness in deliberate practice. Consider

541 the following characteristics of deliberate practice in light of the limitations of  
542 unconscious processing:

543

544 (1) *Durable and explicit representations*. Deliberate practice requires that a model's  
545 actions be observed and held in mind as one attempts to reproduce those actions.  
546 Furthermore, the representation of the model as well as one's self-representation must  
547 be capable of providing information about discrepancies between the two so that errors  
548 can be detected and corrected. All of this strongly suggests mental representations that  
549 are relatively durable and explicit, adequate for guiding the actions of the learner.  
550 Learning a tennis backhand, for example, involves a sequence of coordinated  
551 movements extended over time and space. The learner's backhand "image" would have  
552 to be held long enough in the mind, and be bound precisely enough in time and space, in  
553 order to allow the learner to evaluate his/her movements against the image, otherwise it  
554 would be useless as a pedagogical tool. The lack of durability and explicitness  
555 characteristic of unconscious representations would not be capable of supporting these  
556 processes.

557 (2) *Novel combinations of operations*. Deliberate practice involves the constant  
558 transitioning from one skill state to a higher, more proficient one. For this to occur,  
559 novel behavioral and mental skills must be acquired and integrated into one's base  
560 repertoire. In their analysis of SF's acquisition of memory skill, [Ericsson et al. \(1980\)](#)  
561 noted how his progress depended on his ability to devise increasingly sophisticated  
562 strategies for organizing information. At first he simply associated digits into groups of  
563 four, then into supergroups of three or four, and finally into a three-level hierarchy  
564 capable of holding up to 80 digits. For SF to acquire greater memory skill he had to  
565 continually find new ways of organizing information. This reflects the fact that experts  
566 must continually alter and adjust their behavioral and mental strategies in order to reach  
567 more proficient levels of performance. The creation of retrieval structures and the  
568 continual acquisition of more effective mental and behavioral responses require  
569 consciously combined novel operations and representations.

570 (3) *Intentional behaviors*. As discussed earlier, conscious awareness appears to be a  
571 requirement if one is to inhibit an automated response so that a novel one can be  
572 executed. While under many circumstances it can be advantageous to automate  
573 responses, automaticity has a price that can be detrimental to the development of  
574 expertise. Sometimes signals can be misleading. Bluffs or fakes are common in many  
575 sports, such as when a tennis player "disguises" a shot or a volleyball player fakes a  
576 spike. In the medical arena, an experienced diagnostician knows that a particular  
577 symptom can be highly meaningful in conjunction with other indications, but may be  
578 irrelevant or even misleading in a different context. Thus, an expert must be ever able to  
579 inhibit initial, automatic responses to sensory data in order to retain the flexibility  
580 necessary to react effectively to changing circumstances. This is why one of the  
581 important requirements of deliberate practice is that one not allow processes to become  
582 entirely automatic. Deliberate practice is not mindless repetition. Experts always retain  
583 a certain degree of conscious, intentional control over the actions in their domain.  
584 These intentional acts though, appear to be beyond the capacity of the unconscious  
585 mind.

## 586 4.6. Expertise and implicit learning

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The limitations of the unconscious mind just described would appear to preclude it from being capable of supporting the acquisition of expertise. If so, expertise, as developed through deliberate practice, would stand as a definitive indicator of consciousness in an organism. However, a very compelling argument against this could be mounted based on the extensive literature on implicit learning. Decades of research have demonstrated how individuals can acquire novel, sophisticated, abstract rules and associations all without being consciously aware of what has been learned (see [Stadler & Frensch, 1998](#) for a review). This, however, does not necessarily represent a formidable challenge to the notion that consciousness is required for expertise. What the literature on implicit learning demonstrates is that humans, as with nearly all other creatures, possess a powerful unconscious associative learning mechanism ([Eichenbaum & Bodkin, 2000](#); [Macphail, 1998](#)). In humans, as with many animals having complex nervous systems, this unconscious associative learning mechanism is linked to and operates in tandem with consciousness.

The classic demonstration of implicit learning comes from Reber's studies on artificial grammar learning ([Reber, 1967, 1976, 1989, 1993](#)). Subjects were required to memorize letter strings that were constructed according to a simple set of rules (an artificial grammar). Some of the rules, for instance, stipulated that all strings must begin with either a P or T, that a P could not immediately follow another P, and that a PS combination could only occur at the end of a string. After their initial exposure to letter strings, subjects were told that the strings were constructed according to a "grammar" and that they were now going to see more strings that they would have to classify as either grammatically correct or incorrect. The interesting finding was that while subjects could not verbalize any of the rules of the grammar, they could with remarkable accuracy correctly classify new letter strings as being either grammatical or not. In other words, they learned the rules without being aware that they were learning them, and without being aware of their content. Similar findings have been demonstrated in the learning of variables that control complex systems (see [Berry & Broadbent, 1984](#) for details) and in sequence learning or the serial reaction time task (SRT) where subjects learn to predict where visual stimuli will occur without understanding the rules that determine locations ([Nissen & Bullemer, 1987](#); [Reed & Johnson, 1994](#)).

Other recent demonstrations of implicit learning are also worthy of note. [Watanabe et al. \(2001\)](#) demonstrated that coherent object motion could be detected even when subjects were unaware of its presence. Subjects were given a letter identification task against a background of randomly moving dots. Five percent of the dots, however, were moving in a consistent direction. Previous testing had confirmed that this proportion of coherently moving dots was too small to be consciously detected (in other words the dots all appeared to be moving randomly). In tests given a day later or more, subjects were found to have a heightened sensitivity to motion in the same direction as the coherent motion to which they were previously exposed. A similar effect was found by [Moore and Egeth \(1997\)](#) using background dots that unconsciously induced the Muller–Lyer illusion in subjects. [Li et al. \(2002\)](#) showed that subjects could categorize background scenes as



631 containing either an animal or vehicle, even in the absence or near absence of attention to  
632 those scenes.

633 In many respects these studies appear to contradict the limitations on unconscious  
634 processing discussed earlier. Subjects demonstrate the ability to acquire complex rules and  
635 apply them in novel situations all without an awareness of the process or content of the  
636 learning. Using these unconscious rules, subjects can correctly categorize inputs and  
637 accurately anticipate their locations in time and space. Furthermore, these effects appear to  
638 be durable and long lasting, quite unlike the priming effects discussed earlier.

639 It is crucial to recognize that studies of implicit learning do not involve the presentation  
640 of unconscious or subliminal percepts. What is “unconscious” about implicit learning are  
641 the associative processes that go on inside the subject’s head. The input on which those  
642 associative processes operate is conscious. For example, studies on artificial grammar  
643 explicitly require subjects to attend to and often memorize the letter strings embodying the  
644 implicitly learned grammar. To my knowledge, no study has ever presented masked letter  
645 strings based on an artificial grammar to see if subjects could extract the grammar from  
646 subliminal presentation. Likewise, studies involving the control of complex systems and  
647 sequence learning all require subjects to attend to the perceptual stimuli on which the  
648 learning is based.

649 While there have been some examples of implicit learning of unattended stimuli in  
650 dual-task paradigms (e.g. [Berry & Broadbent, 1988](#); [Cohen, Ivry, & Keele, 1990](#)), these  
651 have been challenged based on the notion that even a secondary task cannot entirely  
652 prevent attentional shifts to the relevant dimensions of the to-be-learned stimuli. After a  
653 series of studies in sequence learning, for example, [Jimenez and Mendez \(1999\)](#) concluded  
654 that selective attention to the predictive aspects of the stimuli were necessary for learning  
655 to take place. Other researchers have drawn similar conclusions. [Berry \(1991\)](#) argued that  
656 interaction, and not just observation, was necessary in order to (implicitly) learn how to  
657 control a complex system. Similarly, [Berry and Cock \(1998, p. 141–142\)](#) report that the  
658 implicit rules guiding a particular task were only learned when subjects were instructed to  
659 pay attention to that particular task, and were not learned when subjects’ attention was  
660 directed elsewhere. A number of studies have demonstrated that implicit learning can be  
661 interfered with or eliminated entirely when secondary tasks are included along with the  
662 intended learning task ([Frensch, Buchner, & Lin, 1994](#); [Nissen & Bullemer, 1987](#)). After a  
663 series of studies looking at the question of attention in implicit learning, [Reed and Johnson](#)  
664 [\(1998, p. 278–281\)](#) concluded that “implicit learning processes are influenced by the  
665 availability of cognitive resources and therefore cannot be considered automatic in the  
666 strict sense” (p. 281). Recent studies demonstrating the necessity of attention in implicit  
667 priming and associative learning have lent further support to this line of reasoning  
668 ([Naccache, Blandin, & Dehaene, 2002](#); [Pessoa, Kastner, & Ungerleider, 2002](#)).

669 Thus, consciousness plays an important role in implicit learning. Subjects are  
670 consciously aware of the stimuli on which the implicit learning is based. They are not,  
671 however, aware of all the properties inherent to those stimuli. For example, in the  
672 [Watanabe et al. \(2001\)](#) study subjects were aware of the background dots, and the  
673 movement of those dots. They were unaware of the *coherent movement* of a small subset  
674 of those dots. Similarly, in the [Li et al. \(2002\)](#) study subjects were aware of the background  
675 scenes and the presence or absence of animals in those scenes (personal communication).

676 In artificial grammar learning subjects are aware of the letter strings, but they are unaware  
677 of the rule-based structure of the strings.

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#### 679 4.7. *The role of consciousness in implicit learning*

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681 Why would consciousness be necessary for implicit learning? Implicit learning  
682 typically involves extracting the covariant relationships among stimuli. Extracting these  
683 structural relations requires that stimulus features be temporally and spatially “bound” in  
684 one’s experience. The enhanced discriminative powers of consciousness appear to be  
685 essential for this binding to occur. Consider for a moment the implicit learning of an  
686 artificial grammar. Extracting the “rules” of the grammar requires that rather sophisticated  
687 spatial/temporal discriminations be made. One must recognize that a lawful pattern  
688 requires a T or P at the beginning, that a P followed immediately by another P is unlawful,  
689 and that the pattern PS is only acceptable when located at the end of a string. Recognizing  
690 these properties in the letter strings as they are initially being presented necessarily means  
691 that the elements of the strings (the Ps and Ss and features therein) be located spatially and  
692 temporally in one’s experience and not free-floating, as occurs pre-attentively (Treisman  
693 & Gelade, 1980). The spatial/temporal associations required for the implicit construction  
694 of the grammar would not even be available for learning (implicit or otherwise) unless first  
695 attended to and therefore consciously experienced. Consciousness makes these properties  
696 available for associative learning and some of that learning appears to occur  
697 unconsciously.

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#### 4.8. *Anomalous findings*

A critical test of the importance of consciousness to implicit learning would be the extent to which truly unconscious learning is possible. In other words, this position predicts that implicit learning based on subliminally presented stimuli (such as the subliminal artificial grammar study suggested earlier) is either impossible or greatly limited. Unconscious associative learning could not, for example, require the association of stimulus properties that extend in any substantive way across time and space (the defining of “substantive” would, of course, be an empirical issue). One demonstration of unconscious learning, though compelling, appears to fit within these predicted constraints.

Unconscious learning has been demonstrated in the area of “prepared” fear conditioning. A number of experiments have presented certain stimuli as CSs (such as snakes, spiders, and angry faces) in masked conditions paired with an aversive UCS and later found a fearful response (such as increased skin conductance) to the CS alone (Esteves & Ohman, 1994; Morris & Dolan, 2001; Ohman & Soares, 1998). These same effects were not found when non-fearful stimuli (flowers, happy faces) were used as masked CSs and paired with a UCS. For example, Morris, Buchel, and Dolan (2001) (discussed in Morris & Dolan, 2001, p. 191) paired a masked angry face (CS + ) with an aversive 100 dB noise. This same face and a second angry face (CS – ) were also presented consciously but without being paired with noise. Subsequent testing showed that subjects had no conscious awareness of the angry face–noise pairing, however, increased

721 skin conductances were observed to later unmasked presentations of the CS + relative to  
722 the CS – face.

723 Studies such as these suggest that humans do have the capacity for implicit associative  
724 learning based on subliminal experiences. There are, however, two important factors to  
725 consider about this effect. First, there is evidence that the fear inducing stimuli used in  
726 these studies (snakes, spiders, and angry faces) are, by evolutionary design, ones that “pop  
727 out” pre-attentively (Fox et al., 2000; Hanson & Hanson, 1988; Ohman, Flykt, & Esteves,  
728 2001). Second, the response measured is a simple physiological change in skin  
729 conductance, which could also be the result of evolutionary hard-wiring. It may very  
730 well be that unconscious learning is largely restricted to evolutionarily “prepared” stimuli  
731 where the associations have high survival and reproductive value and the responses to  
732 those stimuli are narrow in scope. For an organism, such as humans, where adaptability  
733 often means a range of responses must be available to a variety of stimuli, conscious  
734 awareness may be the most effective mechanism for processing inputs and directing  
735 responses.

736 A second anomalous finding comes from the implicit memory literature and is less  
737 easily dismissed. Implicit memory occurs when someone shows behavioral evidence of  
738 memory, but without any conscious recollection of the relevant event underlying the  
739 behavior. For example, suppose a subject is shown a list of words and asked to repeat them  
740 aloud. Among the words is “scheme”. Later the subject is given a stem completion test  
741 with the stem “sch...” as one of the items. It is likely that the subject will fill in the word  
742 “scheme” at a higher than expected level even if the subject fails to recall the presence of  
743 this word from the original list. The same argument presented with implicit learning is also  
744 relevant with implicit memory. That is, the original experience of the later-tested items is  
745 conscious. Thus, as was true with implicit learning, consciousness is involved during the  
746 encoding process in implicit memory. However, at least one study has shown implicit  
747 memory effects with subliminally presented items.

748 Bonebakker et al. (1996) presented a list of words to patients undergoing surgery with  
749 general anesthetic. On a stem completion test given 24 hours later subjects demonstrated  
750 implicit memory for the list words presented during surgery. This would suggest that under  
751 some circumstances even unconscious events could have long lasting effects in memory. It  
752 is unclear how robust or generalizable this sort of subliminal implicit memory effect is.  
753 Furthermore, as with nearly all implicit memory findings, the effects appear to be  
754 restricted to ones of increased perceptual fluency, that is, enhanced stimulus processing  
755 based on previous exposure. While perceptual fluency plays a role in the development of  
756 expertise, it is not, by itself, sufficient for expertise.

757 Finally, the much-discussed work of Benjamin Libet (1985) might also be interpreted  
758 as challenging to the arguments being posed here. Libet monitored subjects’ brain activity  
759 as they engaged in simple motor acts such as flexing the wrist or fingers. He found that a  
760 negative shift in electrical potential (a “readiness potential”) preceded the subject’s  
761 conscious desire to engage in the motor act by about 350 ms. Thus, both the motor act  
762 itself and the conscious awareness of “willing” the act were subsequent to a preconscious  
763 cerebral output. The conscious initiating and controlling of behaviors (as is essential in  
764 deliberate practice) may themselves be unconsciously determined by antecedent brain  
765 processes.

766 Libet's work and the interpretation of it are controversial (see the numerous reactions  
767 included with his 1985 paper). It remains an open question as to why some acts are  
768 accompanied by a sense of voluntary control while others are not, and what functional role  
769 this sense might play. Libet himself has proposed that the function of consciousness is not  
770 necessarily to initiate acts but to monitor and potentially inhibit certain ones. Other  
771 findings, such as Lhermitte's work with brain damaged patients who uncontrollably  
772 imitate observed or cued gestures, are consistent with this view (Lhermitte, 1986;  
773 Lhermitte, Pillon, & Serdaru, 1986). This syndrome, dubbed "environmental dependency  
774 syndrome" by Lhermitte, renders the patient unable to inhibit stored motor routines even  
775 when they are socially inappropriate (such as a patient giving a urine sample without first  
776 going to the restroom). The exclusion studies reviewed earlier (where subjects are required  
777 to complete a word with anything other than a prime word seen earlier) also demonstrated  
778 the necessity of consciousness when one must inhibit a stereotypic act and replace it with a  
779 novel one. It may be this "censor and replacement" function of consciousness that is  
780 essential in the development of expertise.

781

782 *4.9. Implicit processing: conclusions*

783

784 The important point to be gleaned from this discussion of implicit learning and memory  
785 is that demonstrations of implicit learning and memory do not seriously call into question  
786 the role or importance of consciousness. The discriminating power of consciousness is a  
787 part of implicit processing and as such is one of the reasons why implicit processes can  
788 possess properties such as durability and the capacity to deal with novelty, that are absent  
789 when unconscious processes are isolated.

790 It is entirely plausible that some of the learning acquired by experts during the course of  
791 deliberate practice is implicit in nature. A professional tennis player, for example, may not  
792 be entirely aware of all the inter-relationships among cues that indicate the velocity,  
793 destination, or spin properties of an opponent's shot, but may detect their presence and  
794 effectively respond to them, nevertheless. Thus, I contend, the original argument remains  
795 intact: expertise requires deliberate practice, and deliberate practice requires conscious-  
796 ness. Accepting the validity of this argument, we can now move on to Proposition 3.

797 One of the strengths of assessing consciousness from a skill acquisition perspective is  
798 that it allows for cross-species and paleoanthropic comparisons. Skills can be evaluated in  
799 non-human animals and evidence of skill can be gleaned from the fossil record. The next  
800 section shows that a skills framework can be successfully applied to these domains and  
801 addresses some of the issues and questions that arise when doing so.

802

803 **5. Proposition 3: evidence of expertise exists in both non-human animals**  
804 **and in the fossil record of hominin evolution, and these sources**  
805 **can be used to study the evolution of consciousness**

806

807 *5.1. Do animals practice?*

808

809 If animals attain skills using processes comparable to human deliberate practice, then  
810 this could count as evidence for a conscious experience comparable to humans. An

811 important implication of the current approach is that it points directly to animal skill  
812 acquisition as fertile ground for cross-species research. Certainly many animals  
813 must acquire skills. Cheetahs must acquire hunting skills. Chimps, otters, and other  
814 animals acquire tool-use skills. Even some dogs become quite proficient at Frisbee-  
815 catching. The critical question, though, is how these skills are acquired. Decades ago,  
816 [Symons \(1978\)](#) documented considerable evidence that rhesus monkeys acquired both  
817 predator avoidance skills and fighting skills (especially for males) through play. His work  
818 suggests that animal skill acquisition is based on practice, but it is “play” practice, not  
819 deliberate practice. A challenge for future research will be in teasing apart and testing  
820 these different concepts by clearly defining what is meant by practice and when practice  
821 qualifies as something comparable to human deliberate practice.

822 Future observations may exploit the fact that deliberate practice is often a more solitary  
823 activity compared to play. Additionally, the range of behaviors exhibited in deliberate  
824 practice is typically narrower compared to those associated with play. A youngster  
825 learning to dribble a basketball, for example, concentrates on repeating certain motions  
826 that allow him/her to control the movement of the ball. By contrast, a kitten playing with a  
827 ball seems more intent on creating and then reacting to widely divergent ball movements  
828 by swatting it left and right or rabbit-kicking it upwards. Future animal observations will  
829 need to focus on solitary animal activities that appear to concentrate on a restricted range  
830 of behaviors. In reviewing the literature on animal skill acquisition it can be seen that the  
831 notion of “practice” has been used very generally to apply to nearly any process of skill  
832 improvement.

833 [Goodall \(1976\)](#), for example, in describing the behavior of young chimpanzees states,  
834 “Often, after watching some activity such as a male charging display or a complex tool-  
835 using performance, an infant may then try to perform the same actions. Subsequently he  
836 may *practice* the behavior time and time again.” (p. 88; italics added). The description  
837 ends there so it is difficult to assess just what manner of practice this was. [Ripley \(1967\)](#)  
838 describes how juvenile gray langur monkeys (*Presbytis entellus thersites*) improve their  
839 jumping ability by jumping from ground to branch several times in a row or leaping over  
840 and over across a gap between two tree branches. These actions were all done in the  
841 context of play, but the question remains as to whether the animals had some “model”  
842 behavior in mind as humans do when perfecting a dive or ballet leap.

843 [Goodall \(1990\)](#) provides another interesting account of what she termed “practice” in  
844 an adolescent male chimpanzee. The chimp, Figan, had observed another chimp, Mike,  
845 incorporating empty four-gallon tin cans into his charging display. The noisy cans were  
846 hugely successful in intimidating the other males, thus permitting Mike to ascend to alpha  
847 status. “But Figan was the only one whom we saw, on two different occasions, ‘practising’  
848 with cans that had been abandoned by Mike”, describes Goodall (p. 44). “Characteristi-  
849 cally – for he was a past master at keeping out of trouble – he did this only when out of  
850 sight of older males who would have been intolerant of such behaviour in a mere  
851 adolescent. He would undoubtedly have become as adroit as Mike had we not removed all  
852 cans from circulation.” This account suggests that Figan went off by himself with the  
853 specific intent of exploring (and perfecting?) how the cans could be used as part of one’s  
854 charging display. It is also possible, however, that Figan was simply emulating behaviors  
855

856 he had previously observed in Mike, while being well aware of the fact that such behaviors  
857 could be dangerous under certain conditions (in the presence of older males).

858 [Griffin \(2001\)](#) uses the term “practice” to describe the behavior of African village  
859 weaver birds (*Ploceus cucullatus*) as they made their first attempts at nest building after  
860 watching older males build theirs. These initial nests were often incomplete and  
861 improperly constructed, and were therefore rejected by females. After more rounds of  
862 observing and building, eventually the bird was able to produce a competent nest. “Thus”  
863 says Griffin, “the male that builds the relatively complex structures has ordinarily had a  
864 long period of practice and has also had abundant opportunity to watch older males build  
865 more complete nests” (p. 91). A similar process occurs with bowerbirds (*Ptilonorhynchus*  
866 *violaceus*; [Diamond, 1982](#)).

867 The process being described in these cases can be understood as one of emulating  
868 observed behaviors, followed by environmental feedback (rewards, lack of rewards,  
869 rejection or acceptance by potential mates, etc.), followed by more observation and  
870 emulation and so on until a product worthy of environmental reward or acceptance has  
871 been rendered. Is this a process of skill acquisition based on deliberate practice? Both  
872 involve the use of a more skilled model as a comparison. Both involve opportunities for  
873 environmental feedback and error correction. Furthermore, as [Darwin \(1871, Chap. 3\)](#)  
874 himself noted many animals manifest obvious indicators of externally focused attention  
875 and presumably therefore experience a state of sensory awareness similar to humans. But  
876 more rigorous tests are needed to truly answer this question.

877 Another common context for practice is during the course of instruction. [Caro and](#)  
878 [Hauser \(1992\)](#) reviewed the reports of teaching among animals, concluding that among  
879 non-primates the clearest examples of active instruction are found among predatory cats.  
880 A mother cheetah, for example, will bring back a captured prey to her cubs and allow them  
881 to chase and kill it under her supervision. As the cubs mature and their hunting prowess  
882 increases, the mother cheetah adjusts her level of involvement in these supervised hunts,  
883 thus matching her “instruction” with their skill level ([Caro, 1994](#)). A similar process can  
884 be readily observed among domestic cats. In one way, at least, this process resembles the  
885 deliberate practice of humans in that the behavioral demands placed on the learner are  
886 constantly adjusted to fit his/her developing skill level. However, as any cat owner can  
887 testify, the overall context of this activity appears to be one of playful interaction on the  
888 part of the nascent predators, rather than the concentrated effort characteristic of a human  
889 aspiring toward expertise.

890 These examples suggest that some elements of deliberate practice may be present in  
891 animal skill acquisition. However, more systematic observations and experimental tests  
892 are necessary to make more definitive statements on this issue. Experimental situations  
893 might be set up where a novel behavior leads to a reward and animals are given the  
894 opportunity to improve their skill on this behavior. For example, [Whiten, Custance,](#)  
895 [Gomez, Teixidor, and Bard \(1996\)](#) created an artificial “fruit” as an experimental analogue  
896 to the picking, peeling, and shucking problems that chimpanzees often face while foraging  
897 in the wild. The apes were required to perform a series of novel manipulations on the  
898 “fruit” before it would yield a reward. An interesting question could be posed with this sort  
899 of paradigm. If a set of novel behaviors leads to a desired reward and greater efficacy in  
900 those behaviors is linked to greater rewards, then will the chimps deliberately practice at



901 those behaviors in order to improve their skill? A task modeled on the [Whiten et al. \(1996\)](#)  
902 approach might effectively address this issue.

903

904 *5.2. Is deliberate practice uniquely human?*

905

906 Despite the potential similarities between human and animal skill acquisition at least  
907 one researcher has argued for a critical, possibly unbridgeable difference. [Donald \(1999\)](#)  
908 argues that only humans can engage in deliberate practice because of what he terms the  
909 lack of “autocuing” in animals. Autocuing refers to the capacity to gain voluntary control  
910 of the contents of one’s own mind – to self-trigger memories ([Donald, 1993](#)). Skill  
911 development in non-human animals is sorely limited by the fact that the animal requires  
912 external cues in order to gain access to the stored motor responses that must be shaped and  
913 “trained-up” for greater efficacy. [Donald \(1999, p. 143\)](#) contends:

914

915 You cannot rehearse what you cannot recall. If an animal depends entirely upon  
916 environmental triggers to remember when and what to rehearse, skill development  
917 becomes extremely difficult, since the animal cannot self-trigger the memories  
918 supporting the skill, and effectively hangs in suspended animation until the  
919 environment provides the cues needed for retrieval of a given response-pattern.  
920 Trainers of apes have had to cope precisely with this limitation; for instance, it often  
921 takes thousands of trials to establish a reliable signing response in a chimpanzee  
922 ([Greenfield & Savage-Rumbaugh, 1990](#)).

923

924

925 An essential element of deliberate practice (and thus of skill acquisition) is the ability to  
926 focus awareness inward, away from the environment and onto one’s own actions ([Donald,](#)  
927 [1999](#)). It is not uncommon for a human to spend countless hours bouncing or shooting a  
928 ball, skipping rope, or hitting a tennis ball against a wall, all the while adjusting motor  
929 actions and experimenting with different combinations of movements to evaluate their  
930 efficacy. Though animals may repeat actions, they simply do not spontaneously rehearse  
931 and refine their movement patterns. As Donald succinctly puts it: “Baboons throw  
932 projectiles in a fight, but they don’t systematically practice and improve their throwing  
933 skill” ([Donald, 1993](#), p. 152).

934

935 Though tantalizing, the examples reviewed earlier have provided no definitive evidence  
936 that animals can or do engage in deliberate practice. If Donald is right, then any systematic  
937 studies addressing this issue should ultimately come up empty. However, the similarities  
938 documented between human and animal practice are intriguing and certainly do not  
939 preclude the possibility that animals may practice as humans do (at least to some extent). If  
940 so, this would have important implications for their level of consciousness.

941

942 *5.3. Skill development in hominin evolution*

943

944 A second important implication of a skills approach to consciousness is that evidence of  
945 skill can also be observed in the fossil record of human evolution. The evidence comes in  
the form of fossil artifacts, mostly tools, left behind by our hominin ancestors. What is

946 interesting about these artifacts is that they do suggest evidence of skill acquisition using  
947 deliberate practice.

948 The earliest stone tools, called the Oldowan industry, appeared about 2.5 million years  
949 ago (mya) and consist of small flakes broken from a core stone (Semaw et al., 1997; note:  
950 both the flakes and cores may have been used as tools). Though there is no evidence that  
951 apes can create Oldowan-type tools in the wild, captive apes have created tools  
952 approaching those of the Oldowan industry (Toth, Schick, Savage-Rumbaugh, Sevcik, &  
953 Rumbaugh, 1993; Wright, 1972). Most researchers agree that the early hominins who  
954 created Oldowan tools may have possessed a degree of motor control and timing that  
955 exceeded that of apes, however, this did not represent a major intellectual advance (Toth,  
956 1985; Wynn, 1996, 2002). The same, however, cannot be said for the next major category  
957 of tools to emerge, the Acheulean industry.

958 The earliest Acheulean tools are about 1.5 million years old and are distinguishable  
959 from the Oldowan by their larger size and sophistication. An exemplar of the Acheulean  
960 industry is the hand axe, which was created by trimming flakes off around the sides of a  
961 core, producing a teardrop shaped, roughly symmetrical tool. Unlike Oldowan tools, hand  
962 axe construction (especially later hand axes which emerged around 0.6 mya) would have  
963 required considerable investment in time and energy, with the toolmaker going through a  
964 series of flaking iterations before completing the final product. Wynn (1981, 2002) has  
965 argued that the late Acheulean hand axe maker, unlike his Oldowan counterpart, could not  
966 simply have focused on the shape of the tool's edge, but instead had to understand how  
967 flakes trimmed from one part of the stone affected the tool's overall shape. He contends  
968 that to create such a tool, it would be necessary to hold in mind multiple perspectives of the  
969 tool as it was being created so that the toolmaker could appreciate how a flake removed  
970 from one side would affect the total shape of the tool. Thus, the late Acheulean hand axe  
971 may provide us with the first evidence of hominin motor behaviors guided by a durable and  
972 explicit mental image – the first step toward skill acquisition via deliberate practice.

973 At a number of sites where hand axes have been found, hundreds have been unearthed  
974 showing no evidence of use (Klein & Edgar, 2002, p. 107; Kohn & Mithen, 1999). Kohn  
975 and Mithen (1999) contend that hand axes may have served an important function in mate  
976 selection. A male who could produce a high quality hand axe may have been signaling his  
977 industriousness, competence, and overall mate quality (good genes) to local females. Do  
978 the hundreds of unused hand axes represent evidence of hominins practicing axe-making  
979 skill? If so we might expect to find hand axes of varying quality. Toth (1985) demonstrated  
980 that the final form of an Oldowan tool depended more on the raw materials the toolmaker  
981 started with than the intentions of the toolmaker. If hominins were practicing hand axe  
982 making we might expect a similar analysis to reach the opposite conclusion for Acheulean  
983 hand axes. Furthermore, if hand axe-making skill was essential in mate selection, then we  
984 could expect the ability to acquire hand axe-making skill (i.e. the ability to practice) to be  
985 under selection pressure and therefore evolving over time.

986 Around 250,000 years ago, the Acheulean industry was supplanted by Middle Stone  
987 Age (Africa) or Mousterian (Europe) technologies. Of great significance during this period  
988 was the advent of composite tools – tools created by combining component elements.  
989 “Hafted” tools, for example, were ones composed of three distinct elements or  
990

991 technounits, usually (1) a stone blade, affixed to (2) a wooden handle using (3) an adhesive  
992 or some binding material (Oswalt, 1976).

993 Ambrose (2001) has drawn parallels between the cognitive requirements of composite  
994 tool production and the grammatical constraints of language. Both, he argues, require the  
995 ability to organize thought and action sequentially and hierarchically. To create a  
996 composite tool one must organize a sequence of actions, such as breaking off the stone  
997 flake, fashioning the handle, affixing the blade to the handle, etc., and one must understand  
998 how these actions and the tool-elements inter-relate to one another. Creating a sentence  
999 requires a similar understanding of how the concepts of agent and action relate to one  
1000 another and how vocal responses must be organized sequentially in order to effectively  
1001 express these relationships. Similarly, expertise requires the construction of hierarchically  
1002 ordered retrieval structures, which serve as cues to domain-specific knowledge and  
1003 organize one's experience of and responses to domain-relevant input. Composite tools  
1004 provide the first evidence of this hierarchically structured form of thinking and behaving  
1005 being externalized to create fitness-enhancing implements, thus placing them under  
1006 selection pressure.

1007 Finally, the fossil record of hominin evolution seems to provide a clear marker as to  
1008 when self-consciousness emerged. With the Late Stone Age (LSA) in Africa about 50,000  
1009 years ago, and the more well-documented Upper Paleolithic (UP) Revolution in Europe  
1010 about 40,000 years ago, the first unequivocal evidence of a fully human self-awareness is  
1011 present (Klein & Edgar, 2002; Mellars, 1996). For the first time hominins engaged in the  
1012 widespread creation of symbolic artifacts, personal adornments, jewelry, and art. These  
1013 artifacts represent humanity's first attempt at symbolically representing themselves, their  
1014 world, and their place in it. One of the most dramatic examples of this is the Chauvet cave  
1015 in southern France where more than 260 drawn, painted, and engraved animals, geometric  
1016 patterns, and other representations dated to around 30,000 years ago have been uncovered  
1017 (Chauvet, Deschamps, & Hillaire, 1995). Equally old statuettes, figurines, and other  
1018 symbolic artifacts have also been unearthed (see Klein & Edgar, 2002, p. 260–263).

1019 The LSA/UP era not only provides our first unequivocal evidence of an emerging  
1020 hominin sense of self, but also evidence that this self-awareness could be defined in terms  
1021 of behavioral skills. It now appears that the vast majority of the over 260 Chauvet cave  
1022 drawings were produced by a single artist (Clottes, 1996). While art was likely shared and  
1023 valued by the entire tribe, this strongly suggests that its creation was often the domain of  
1024 specialists. Specialization also appears to have emerged in other areas. UP tools include  
1025 some that were intended solely for ritualistic or symbolic purposes, such as some Solutrean  
1026 blades which were so exquisitely thin that they could not have held up as working  
1027 implements. Instead, their only value seems to have been ceremonial, possibly as a  
1028 celebration of a particular toolmaker's skill. The UP period also provides our first  
1029 unequivocal evidence of musical instruments in the form of simple flutes made from bird  
1030 bones (d'Errico, Villa, Pinto Llona, & Ruiz Idarraga, 1998; Turk, Dirjec, & Kavur, 1995).  
1031 As with art, tools and music were most likely shared among tribal members, however, the  
1032 expertise required to create ceremonial blades or to play bone flutes was probably  
1033 restricted to a skillful few. What all of this suggests is that among UP peoples, social roles  
1034 based on acquired skills such as artist, tool-maker, hunter, musician, leader, and possibly  
1035

1036 shaman were emerging and the ability to develop these skills through practice was  
1037 evolving.

1038

#### 1039 5.4. Final questions

1040

1041 Using skill acquisition as the framework for the evolution of hominin consciousness  
1042 raises other related issues. For instance, a number of researchers have argued that throwing  
1043 ability played a critical role in human evolution, especially in the evolution of language  
1044 (Calvin, 1993; Noble & Davidson, 1996). Studies by Blumenschine (1986, 1987) and Potts  
1045 and Shipman (Potts & Shipman, 1981; Shipman, 1986) strongly suggest that early  
1046 hominins filled a scavenger niche in Pliocene Africa. If so, this would have been odd  
1047 behavior for an ape. Our closest relative, the chimpanzee, rarely scavenges and is a poor  
1048 thrower (Stanford, 1999; van Lawick-Goodall, 1968; Westergaard, Liv, Haynie, & Suomi,  
1049 2000). But humans, especially hunter-gathers, can be superb throwers (Corballis, 2002,  
1050 p. 79). Bunn and Ezzo (1993) argue that early hominins may have survived as scavengers  
1051 by chasing off larger predators after they had made a kill. If so, did our ancestors do this by  
1052 throwing stones at them? And did they become proficient stone throwers by practicing the  
1053 behavior?

1054 Another interesting question involves the demise of the Neanderthals. It has long been  
1055 accepted that *Homo sapiens* and not Neanderthals created the vast majority of the  
1056 symbolic artifacts of the UP. But humans showed other skill differences from  
1057 Neanderthals as well, including the creation of tailored clothing, built shelters, and the  
1058 manufacture and use of nets and traps in hunting and fishing (Hoffecker, 2002). Do these  
1059 skill differences also represent differences in consciousness? Further research is required  
1060 to understand the connection between consciousness and skills such as these. Establishing  
1061 those connections may help to unravel the mystery of why humans and not Neanderthals  
1062 emerged from the UP.

1063

1064

#### 1065 6. Summary

1066

1067 Expertise requires deliberate practice. Deliberate practice requires consciousness.  
1068 A framework built on these premises can prove useful for examining the evolution  
1069 of consciousness by allowing for cross-species comparisons and for assessing the  
1070 consciousness of our hominin ancestors. As this paper has tried to show, approaching  
1071 consciousness from the standpoint of acquired expertise raises a number of interesting and  
1072 important issues, many of which appear amenable to empirical tests. To make scientific  
1073 progress on the complex issue of consciousness a variety of different models may be  
1074 necessary in attacking the problem. A skills-based model holds promise as an effective  
1075 addition to current approaches.

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1077

#### 1078 7. Uncited References

1079

1080 Ambrose, 1998. de Waal and Luttrell, 1988. Wilkinson, 1984.

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1086

1087 **References**

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